

02-25-02

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Express Mail Mailing Label No. EL934410145US

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

CAP-001

First Named Inventor

Trevithick

Title

Characterizing Relationships in Social Networks

**APPLICATION ELEMENTS**ADDRESS TO: **Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231**1. ☒ Fee Transmittal Form2. ☒ Statement Regarding Small Entity Status☒ Applicant claims small entity status☐ Status established in prior application and is still proper and desired3. ☒ Specification and Drawings [Total Pages 37]

- Written Description - (22 pages)

- Claims - (6 pages)

- Abstract - (1 page)

- Sheets of Drawings - 8 sheets)

☐ Formal☒ Informal4. ☒ Oath or Declaration [Total Pages 3]a. ☒ Newly executed (original)b. ☐ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

5. ☐ Incorporation by Reference (usable if Box 4b is checked)

The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☒ Application Data Sheet7. ☐ Nucleotide and/or Amino Acid Sequence Submission☐ Computer Readable Form (CRF)☐ Paper Copy (identical to computer copy)☐ CD (2 copies) (identical to computer copy)☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)☐ Power of Attorney9. ☐ English Translation Document (if applicable)10. ☒ Information Disclosure Statement (IDS)/PTO-1449☒ Copies of IDS Citations11. ☐ Preliminary Amendment☐ Drawings [Total Sheets ]12. ☒ Return Receipt Postcard (specifically itemized)13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)15. ☐ CD in duplicate for large table or computer program16. ☒ Other:☒ Recordation Form Cover Sheet☒ copy of executed Assignment☒ Letter to Official Draftsperson and Transmittal of Formal Drawings with Formal Drawings☒ Check in the amount of \$51317. ☐ If a CONTINUING APPLICATION: Amend the specification by inserting on page 1, before the first line, the sentence: --This is a☐ continuation☐ divisional☐ continuation-in-part of prior application Serial No. / , filed on , the

entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: . Group/Art Unit: .

18. ☒ Priority - 35 U.S.C. 119☒ Priority of application Serial No. 60/270,752 filed on February 22, 2001 is claimed under 35 U.S.C. 119.☐ The certified copy has been filed in prior U.S. application Serial No. / on .☐ The certified copy will follow.**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100  
Customer No. 021323**SIGNATURE BLOCK**

Date: February 22, 2002

Reg. No. 47,416

Tel. No.: (617) 248-7690

Fax No.: (617) 248-7100

Respectfully submitted,

Elias C. Behrakis

Elias C. Behrakis

Agent for Applicants

Testa, Hurwitz &amp; Thibault, LLP

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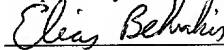
Boston, MA 02110

# FEE TRANSMITTAL

## FY 2002

Complete if Known

Application Serial Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Trevithick
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	CAP-001

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%;"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>Request for ex parte reexamination</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>400</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>920</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1440</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1960</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>100</td><td>100</td><td>Certificate of Correction for applicant's error</td><td></td></tr> <tr><td colspan="3">Other fee (Specify) <b>Recordation of Assignment, 2 properties</b></td><td><b>80.00</b></td></tr> <tr><td colspan="3">Other fee (Specify)</td><td></td></tr> </tbody> </table>	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	Request for ex parte reexamination		110	55	Extension for reply within first month		400	200	Extension for reply within second month		920	460	Extension for reply within third month		1440	720	Extension for reply within fourth month		1960	980	Extension for reply within fifth month		320	160	Notice of Appeal		320	160	Filing a brief in support of an appeal		280	140	Request for oral hearing		130	130	Petitions to the Commissioner		180	180	Submission of Information Disclosure Statement		740	370	Filing a submission after final rejection (37 CFR 1.129(a))		740	370	For each additional invention to be examined (37 CFR 1.129(b))		100	100	Certificate of Correction for applicant's error		Other fee (Specify) <b>Recordation of Assignment, 2 properties</b>			<b>80.00</b>	Other fee (Specify)			
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2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.																																																																																	
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<b>CORRESPONDENCE ADDRESS</b> Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	<b>SIGNATURE BLOCK</b> Date: February 22, 2002 Reg. No.: 47,416 Tel. No.: (617) 248-7690 Fax No.: (617) 248-7100  Respectfully submitted,  Elias C. Behrakis Agent for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																

**PATENT**  
Attorney Docket No. CAP-001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Trevithick et al.  
SERIAL NO.: Not Yet Assigned      GROUP NO.: Not Yet Assigned  
FILING DATE: Herewith      EXAMINER: Not Yet Assigned  
TITLE: Characterizing Relationships in Social Networks

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

**LETTER TO OFFICIAL DRAFTSPERSON AND**  
**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Please substitute the Informal Drawings filed with the subject application with the Formal Drawings enclosed herewith.

Respectfully submitted,



Elias C. Behrakis  
Agent for Applicants  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: February 22, 2002  
Reg. No. 47,416

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